

FEB 23 2004

U.S. Department of Commerce  
Patent and Trademark Office

Atty Docket 0756-2297

Serial No. 09/837,558

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: April 19, 2001

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

aminer	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
<i>M</i>	5,315,132	05/24/1994	Yamazaki			
	5,463,483	10/31/1995	Yamazaki			
	5,485,019	01/16/1996	Yamazaki et al.			
	5,521,107	05/28/1996	Yamazaki et al.			
	5,532,850	07/02/1996	Someya et al.			
	5,621,224	04/15/1997	Yamazaki et al.			
<i>RA</i>	5,646,424	07/08/1997	Zhang et al.			

RECEIVED

MAR 01 2004

*10/11/02*

aminer *James W. [Signature]* Date Considered *7/20/04*

XAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

DIPE JC106 60106  
FEB 23 2004

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b> Application Number: 09/837,558 Filing Date: April 19, 2001 First Named Inventor: Shunpei YAMAZAKI et al. Art Unit: 2814 Examiner Name: Ngan V. Ngo Attorney Docket Number: 740756-2297	
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-2002/0093019 A1	07-18-2002	Hirabayashi et al.	
		US-2003/0038303 A1	02-27-2003	Hashimoto et al.	
		US-			
		US-			
		US-			
		US-			

RECEIVED

MAR 01 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>2</sup> (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

Examiner Signature	<i>Ngan V. Ngo</i>	Date Considered	7/20/04
--------------------	--------------------	-----------------	---------

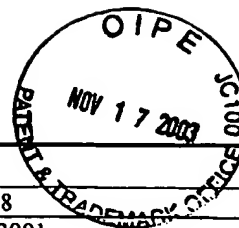
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

7/14/03

NVA270740.1



Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/837,558		
		Filing Date	April 19, 2001		
		First Named Inventor	Shunpei YAMAZAKI et al.		
		Art Unit	2814		
		Examiner Name	Nathan W. Ha		
Sheet		of		Attorney Docket Number	740756-2297

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
NS		US-6,323,068	11-27-2001	Seo	
NS		US-2001/0030722	10-18-2001	Murade	
NS		US-2002/0080295	6-27-2002	Someya et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

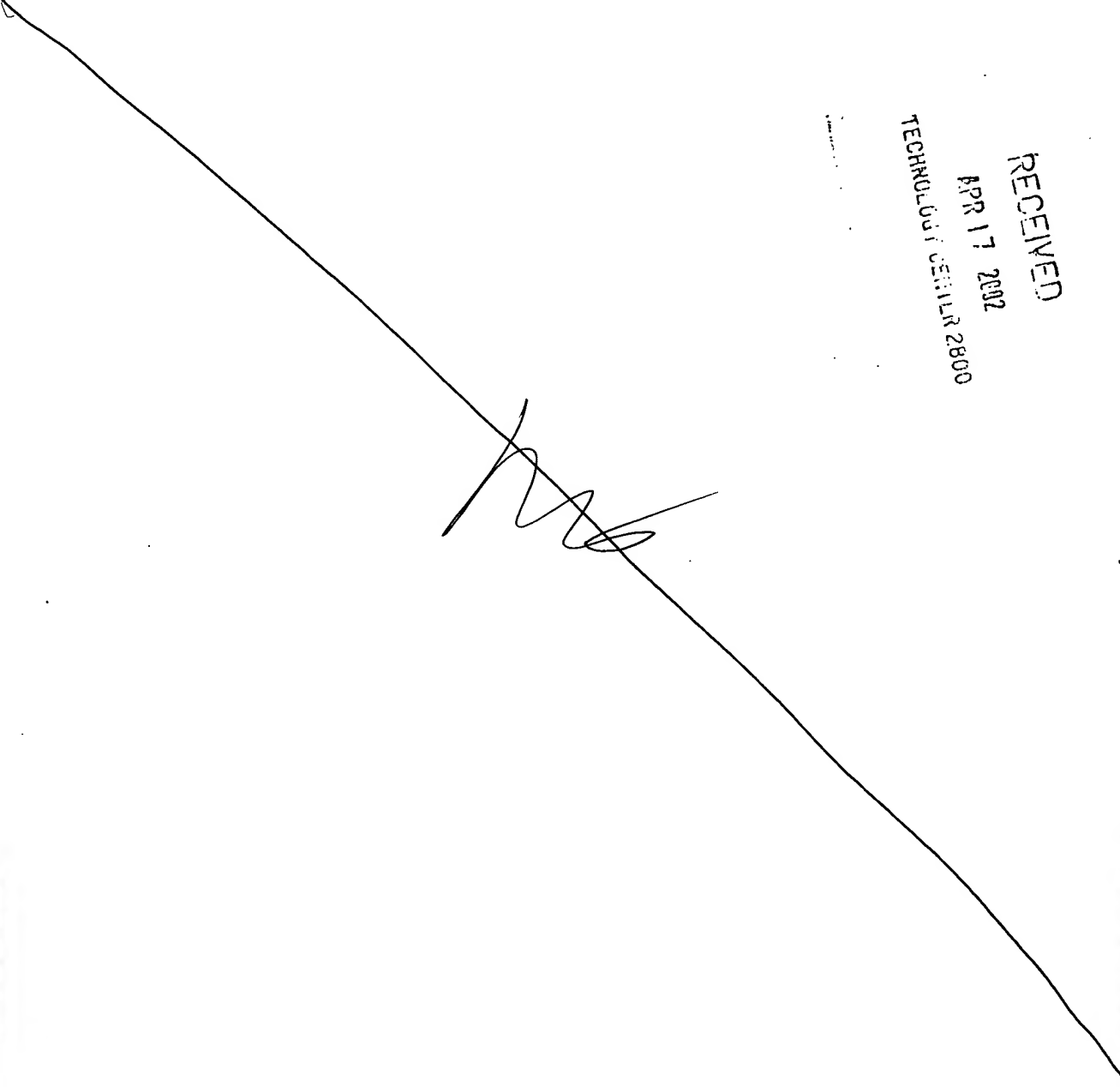
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NS		Byeon, Sag Gi et al. "High-Performance Tantalum Oxide Capacitors Fabricated by a Novel Reoxidation Scheme" IEEE Transactions On Electron Devices, Vol. 37, No. 4, April 90	

Examiner Signature	<i>Nathan W. Ha</i>	Date Considered	2/20/04
-----------------------	---------------------	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Form PTO-1448* (Rev. 8-83)		U.S. Department of Commerce Patent and Trademark Office		Atty Docket 0756-2297		Serial No. 09/837,558	
INFORMATION DISCLOSURE STATEMENT				Applicants: Shunpei YAMAZAKI et al.			
				Filing Date: April 19, 2001		Group Art Unit: 2811	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No	
KA	11-354802	12/24/1999	JP			Full Eng	
 <div style="position: absolute; top: 300px; right: 100px; transform: rotate(90deg);"> RECEIVED APR 17 2002 TECHNOLOGY CENTER 2800 </div>							
Examiner <i>John W. [Signature]</i>				Date Considered <i>7/22/04</i>			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

